



Program and Regulatory Compliance Analyst

Tennessee Department of Treasury

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The Tennessee Department of Treasury impacts the lives of Tennesseans every day. Treasury is responsible for many of the financial operations of state government, including managing more than \$60 billion in assets through its various investment programs. We administer the State's Retirement Program, *RetireReadyTN*, which combines the state pension plan, Tennessee Consolidated Retirement System, and the State's Deferred Compensation plan. Treasury serves all Tennesseans by helping to educate and empower them to make smart financial choices, and by providing public-serving programs in the areas of college savings, financial literacy, unclaimed property, criminal injuries compensation, and more.

Job Overview:

The Program and Regulatory Compliance Analyst will facilitate the development and implementation of program-level, governance, risk and compliance programs through the identification, analysis and monitoring of program risks and their impact on operations. This position will report to the Director of Internal Compliance and interact with various internal and external stakeholders.

Key Responsibilities:

- Translate legal and regulatory requirements and operational activities into a workable risk governance structure for assigned program areas
- Contribute to the development of written policies and procedures
- Implement strategies to develop automated controls to enhance effectiveness of operations and mitigate risks
- Perform assessments and controls testing, along with other duties as assigned

Minimum Qualifications:

- Graduation from an accredited four-year college or university with a bachelor's degree
- Five+ years of successful employment in legal, compliance, or audit, preferably in the financial, banking, accounting or insurance industries
- Understanding of the legal and regulatory requirements applicable to retirement plans
- Proven ability to coordinate the efforts of people and resources and catalyze action within a complex organization

Preferred Qualifications:

- Experience with Financial Accounting Standards Board (FASB) or Government Accounting Standards Board (GASB) reporting requirements
- Hold certification in one of the following: Certified Employee Benefits Specialist (CEBS); Certified Financial Planner (CFP); Chartered Retirement Planning Counselor (CRPC); Certified Pension Consultant (CPC); Certified Plan Fiduciary Adviser (CPFA); Accredited Retirement Plan Consultant (ARPC); or similar

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